Your Financial Planning Goals & Investment Objectives

How important are the following Financial Planning Goals?

Please rate each of the following (1 = very, 2 = somewhat, 3 = not at all)

Retire comfortably ________

Provide for children's education ________

Save on income taxes ________

Provide for survivors in the event of my death ________

Structure my estate to minimize estate taxes ________

Other (please explain) ______________

____

____

If retirement is one of your goals, what age would you like to retire? ________

Your spouse (if applicable)? ________

How important are the following Investment Objectives?

Please rate each of the following (1 = very, 2 = somewhat, 3 = not at all)

Increase current income ________

Spread risk among investments (i.e., diversity) ________

Have cash available for emergencies or investment opportunities (i.e., liquidity) ________

Accumulate funds that will keep pace with inflation or do better than inflation ________

Use borrowed funds to increase return from my investments (i.e., leverage) ________

Minimize the time I have to spend managing my investments ________

What Is Your Attitude Towards Risk?

Check the one that best describes your attitude:

Strongly dislike risk, prefer very safe investments (i.e., insured savings, government securities, etc.) ☐

Prefer little risk. I want to know how much my investment will pay (i.e., highly-rated bonds) ☐

Willing to assume some risk (i.e., stocks, mutual funds, etc.) ☐

Prefer greater risk (i.e., speculative stocks and other investments where the return is uncertain, but may be substantial) ☐

Miscellaneous Information

Have you ever been unhappy with the recommendations of a stockbroker, insurance agent, and/or any other financial advisor or consultant?

☐ Yes ☐ No

If yes, please explain:

____

____

____

Do you expect any inheritances, legal settlements or gifts that may affect your financial plan?

☐ Yes ☐ No

If yes, please explain:

____

____

____

____

milestones FINANCIAL

Personal Planning Profile

The following information is strictly confidential and will not be disclosed to anyone without your consent.

Milestones Financial, LLC
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Columbus, OH 43212
(614) 349-1095

Personal Information

Today's Date: ______________________

Name: ______________________

Birthdate: ______________________

Spouse's Name: ______________________

Birthdate: ______________________

Address: ______________________

____

____

Home Phone: (___) ______________________

Work Phone: (___) ______________________

Check One:

Married	☐
Single	☐
Divorced	☐
Other	☐

Check all that apply	**You**	**Spouse**
Employed	☐	☐
Self- Employed	☐	☐
Retired	☐	☐

Your Occupation ______________________

Spouse's Occupation ______________________

Number of Children ______________________

Ages ______________________

Number of other dependents ______________________

Ages

Is anyone disabled Yes ☐ No ☐

If yes, please explain ______________________

____

Financial Snapshot

REAL ESTATE	Less than $125K	$125 to $250k	$250k to $400k	$400k to $750k	$750k to $1.2 m	More than $1.2 m
Your Home	☐	☐	☐	☐	☐	☐
Mortgage(s) on your home	☐	☐	☐	☐	☐	☐
Other Real Estate	☐	☐	☐	☐	☐	☐
Mortgages on Other Real Estate	☐	☐	☐	☐	☐	☐

SAVINGS, INVESTMENTS, OTHER ASSETS	Less than $25k	$25k to $50k	$50K to $100k	$100k to $250k	$250k to $500k	More than $500k
Checking, Savings, CD's/Money Market Funds, Stocks, Bonds, Mutual Funds	☐	☐	☐	☐	☐	☐
Retirement Accounts (IRA's, 401K's, SEP's, Keogh's)	☐	☐	☐	☐	☐	☐
Company Savings & Profit-Sharing Plans	☐	☐	☐	☐	☐	☐
Other Assets	☐	☐	☐	☐	☐	☐

DEBT	Less than $25k	$25k to $50k	$50k to $100k	$100k to $250k	$250k to $500k	More than $500k
Credit Card Balances	☐	☐	☐	☐	☐	☐
Other Installment Loans	☐	☐	☐	☐	☐	☐
Other Liabilities	☐	☐	☐	☐	☐	☐

INCOME & EXPENDITURES	Less than $25k	$25k to $50k	$50k to $100k	$100k to $250k	$250k to $500k	More than $500k
Annual Household Income	☐	☐	☐	☐	☐	☐
Annual Living Expenditures (Include Fed., State & Local Taxes)	☐	☐	☐	☐	☐	☐
Annual Savings (Include Company Savings Plans, Personal Savings & Contributions to Retirement Plans	☐	☐	☐	☐	☐	☐

OTHER INFO

Is income fairly uniform and predictable?	Yes	☐	No	☐
Are all Federal, State & Local tax returns up to date and filed on time?	Yes	☐	No	☐
Are any of your income tax filings on extension?	Yes	☐	No	☐

ESTATE PLANNING

Do you (and your spouse, if applicable)	Yes	No	Don't Know
Have wills?	☐	☐	☐
Have a trust arrangement?	☐	☐	☐
Have a living trust?	☐	☐	☐
Have health proxies?	☐	☐	☐
Have a durable power?	☐	☐	☐

INSURANCE

Which policies do you have (check all that apply)

Homeowners ☐

Disability ☐

Life Insurance ☐ Death Benefit:

Umbrella ☐

How many automobiles do you own? ____________